

FINANCE AND ADMINISTRATION CABINET
Proposed Projects Involving the General Fund (Cash or Bonds)
(amounts in **bold** are the total budget)

2016-2018

(Projects listed by cabinet priority; descriptions are from the agency submission)

- 1 KyBOS – Phase 3** **\$12,000,000**
The Finance and Administration Cabinet, in collaboration with the Secretary of State and the Cabinet for Economic Development, are enabling the vision and mandates of KRS 14.250 and 14.255 for the Ky Business One Stop (KyBOS) Phase 3. The project provides a single, unified point of entry to government services for businesses to register, file, pay and interact online with government agencies to satisfy their business obligations to the state. This request will address two key areas: 1. Enhancement of business services to other agencies such as the Public Protection Cabinet, Cabinet for Health and Family Services and the Office of the Attorney General, and expansion of tax filing and permits information for the Department of Revenue and other agencies; and 2. Expanding the use of master data (critical business data of the Commonwealth of Kentucky), for securing, creating, storing and maintaining additional enterprise reference data. (IT) Secretary's Office Priority #1
- 2 Emer. Repair, Maintenance and Replacement Fund** **\$12,500,000**
Funds from the Emergency Repair, Maintenance, and Replacement Fund are used (per KRS 45.780) to fund unforeseen repair, maintenance, and replacement projects for state-owned facilities throughout the Commonwealth. (C-PI) DFSS Priority #1
- 3 Capital Const. and Equip. Purchase Contingency Fund** **\$12,500,000**
Contingency fund for unexpected, unforeseen capital construction project expenditures and/or major equipment purchases. (C-PI) DFSS Priority #2
- 4 Maintenance Pool 2016-2018** **\$9,000,000**
The Department for Facilities and Support Services maintains over 85 facilities in Frankfort and outlying counties. These facilities require major maintenance for such things as HVAC, concrete repairs, parking lot and construction efforts. This pool will be utilized to perform such projects. (C-PI) DFSS Priority #3
- 5 Acquire Land/Demolish Structures – Statewide** **\$7,500,000**
The Franklin County leased space report determined that the Commonwealth needed to reduce its dependence upon privately-owned leased space. This budget item will provide necessary funding for the purchase of unique properties throughout the state. (C-PI) DFSS Priority #4
- 6 Maintenance Pool – Statewide Deferred** **\$12,500,000**
This pool of maintenance funds is recommended for use on a priority basis for all state agencies and universities. Priorities will be selected by the Secretary of the Finance and Administration Cabinet in consultation with the State Budget Director and the Commissioner of the Department for Facilities and Support Services. Eligible projects may include deferred maintenance and government mandates. Funds may not be expended for new project construction. (C-O) DFSS Priority #5

- 7 Revenue Onestop Data Integration (RODI) Changes \$2,000,000**
The project Kentucky Business One Stop is an enterprise technology solution. Requested funds are to cover any unexpected revenue systems changes needed to complete the various phases of KyBOS. (IT) DOR Priority #1
- 8 Tax Systems Updates \$6,000,000**
The Dept. of Revenue will need to make additional modifications to its systems, or may have to replace systems to integrate with KyBOS. (IT) DOR Priority #2
- 9 CEMCS \$5,200,000**
This project will continue to implement the Commonwealth Energy Management and Control System (CEMCS), which will support a more global ability to monitor, measure, report and ultimately conserve energy consumption throughout all implemented facilities statewide. (C-PI) DFSS Priority #6
- 10 Tax Fraud Analytics and Identification \$1,700,000**
This is an enterprise wide solution with SAS and is ongoing. Additional funds are needed to implement business tax modules. The project will expand and enhance the department's ability to identify and combat tax fraud in the business tax arena. (IT) DOR Priority #3
- 11 CHR HVAC Replacement \$4,500,000**
The HVAC replacement project will include the replacement of variable air volume boxes and controllers to tie into the CHR building automation system. The project will also include the replacement of unit heaters under the second floor soffits. Some additional upgrades to the building automation system will be included. (C-PI) DFSS Priority #7
- 12 Expand Electronic Commerce \$5,200,000**
Existing electronic commerce opportunities need to be extended to include additional type taxes. (IT) DOR Priority #4
- 13 Account Number Length Increase \$2,000,000**
The department utilizes a six-digit tax account numbering system across most tax systems. Based on the number of account numbers already assigned, the department is in danger of running out of account numbers that can be assigned to businesses in the current schema. Once all numbers have been used the department will be unable to issue tax accounts for filing and paying tax for a specific business. To prevent this possibility, the department must retrofit its existing systems to assign and accept a longer account number length. This retrofit process will impact a large number of agency systems. Also, if the Main Street Fairness Act is passed, there could be over 100,000 additional account numbers requested, which will push the available numbers past what is usable. (IT) DOR Priority #5
- 14 Repair Site Infrastructure Capital Plaza Complex \$2,500,000**
This project will address the following: repair heating, cooling, plumbing, electrical, concrete, and plaza deck elements/ systems required to continue to have a safe and reliable operation of the Capital Plaza Complex. (C-PI) DFSS Priority #8

- 15 Upgrade Capitol Campus** **\$4,500,000**
This project is to make necessary repairs and upgrades on the Capitol Campus. (C-PI) DFSS Priority #9
- 16 Purchase Tax Discovery System** **\$2,300,000**
Purchase a Tax Discovery system to provide a single point of access for a multitude of tax compliance needs. (IT) DOR Priority #6
- 17 Halon System Replacement** **\$750,000**
The halon systems in various state-owned office buildings are obsolete. Current EPA regulations allow for recurring fines if these systems are not upgraded or replaced. (C-PI) DFSS Priority #10
- 18 Registration Case Management System Upgrade** **\$600,000**
The Division of Registration and Data Integrity uses a case management system to assign and track cases from both federal and non-federal sources. This system is outdated and in need of an upgrade. The system could be upgraded to accept a variety of file formats. (IT) DOR Priority 7
- 19 CHR Carpet Replacement** **\$1,473,000**
Existing carpet has been in place for over 14 years. It is severely worn and in need of replacement as a matter of general upkeep. Efforts have been made to repair it when possible, but repair is no longer a viable option for 14 year old carpet. (36,820 square yards) (C-PI) DFSS Priority #11
- 20 Property Tax Systems Upgrade Add'l** **\$8,600,000**
The Department of Revenue's Office of Property Valuation utilizes four computer systems on a frequent basis. These systems do not communicate with each other and need to be connected. They also need to be updated to a more modern, progressive platform. (IT) DOR Priority #8
- 21 Air Handler Replacement and Repair** **\$600,000**
The Department for Facilities and Support Services manages numerous properties across the state. Many of those properties have aging equipment including air handlers. This project would replace or make necessary repairs to those air handlers improving their operability, increasing their efficiency and providing enhanced occupant comfort. (C-PI) DFSS Priority #12
- 22 Aerial Photography Imagery** **\$3,800,000**
The Department of Revenue, Office of Property Valuation, is in need of high-resolution aerial photographs and software that can be integrated with the ARC-GIS software currently being utilized by the department and 117 property valuation administrators in the assessment of properties in the Commonwealth. The procurement of the aerial photographs and software would be beneficial to the Governor's initiative of the installation of fiber Internet throughout the Commonwealth by significantly cutting the cost of man-hours to determine the location of utilities and other structures. (IT) DOR Priority #9

- 23 Upgrade L&N Building** **\$4,375,000**
This project is to upgrade systems in the L&N Building in Louisville. The building has 295,657-gross-square-feet. (C-PI) DFSS Priority #13
- 24 Replace Variable Air Volume Equipment Frankfort Buildings** **\$2,800,000**
This project will increase occupant comfort in various state-owned facilities in Frankfort by replacing antiquated HVAC equipment with new state of the art variable air volume equipment. Replacement will also lower energy consumption. (C-PI) DFSS Priority #14
- 25 Capitol Terrace and Promenade Repairs** **\$4,000,000**
This project would make repairs to the Capitol terrace and promenade to address general deterioration and leaks into the occupied and unoccupied spaces underneath the terraces. (C-PI) DFSS Priority #15
- 26 Emergency Generator Repair and Replacement** **\$600,000**
The Department for Facilities and Support Services manages numerous properties across the state. Many of those properties are served by emergency generators. This project would make necessary repairs and/or replacement to those generators improving their operability and enhancing life safety. (C-PI) DFSS Priority #16
- 27 Chiller Replacement/Rebuild – Various** **\$1,000,000**
Finance Facilities has numerous chillers that are fast approaching life cycle refurbish/replacement age. DFSS intends to refurbish in lieu of replacing where economically possible. (C-PI) DFSS Priority #17
- 28 Modernize Escalator and Misc Elevator Upgrades** **\$1,500,000**
This project will address that renovation and upgrade of miscellaneous elevators and escalators in Finance Cabinet-owned buildings. (C-PI) DFSS Priority #18
- 29 Renovate Old Capitol/Capitol Annex** **\$2,500,000**
The funds will be used for interior and exterior renovations to the old Capitol and old Capitol Annex. The old State Capitol and old State Capitol Annex are in need of significant restorations. This project would include restoring windows for both buildings, completing exterior restorations, providing a preservation master plan for both buildings and all interior decorative finishes and plasterwork. The old State Capitol is one of less than 40 National Landmark buildings in KY and is a crucial component in Kentucky's political and social history. (C-PI) DFSS Priority #19
- 30 Deferred Maintenance for Historic Properties** **\$675,000**
All of the buildings under Historic Properties purview have documented various exterior and interior restoration and repair needs. The funds requested would be utilized for restoration projects such as: New Capitol House and Senate Restoration, Executive Mansion (1st Floor fixture restoration), Berry Mansion (Art Glass Restoration), Old State Capitol restoration work and other documented projects. (C-PI) DFSS Priority #20

31 House and Senate Chambers Restoration **\$2,000,000**

Funds will be used to restore both House and Senate Chambers. In 2003 the architecture firm of K. Norman Berry Associates completed Phase A and B design for a total renovation of the House and Senate Chambers. The original estimates were completed in 2003 but have been updated to reflect current costs. (C-PI) DFSS Priority #21

32 Capitol Exterior Maintenance and Repair **\$2,500,000**

This project will undertake repairs to the exterior of the Capitol. This project is not for routine maintenance, but for large scale renovations specifically to the stone and mortar on the exterior of the building. This work has become necessary as routine minor repairs are no longer a viable solution. (C-PI) DFSS Priority #22

COT – Enterprise Document Management **\$19,104,000 GF/RF**

Migration of existing files from the various filenet systems plus development of new custom workflows. Some agencies utilize a variety of outdated applications (primarily FileNet) that are no longer supportable and cannot be upgraded without large financial investments because of the changes in technology since these systems were procured, eliminating the possibility of traditional upgrade paths. Agencies with no document management system continue to process paper forms manually and store them in file cabinets. These are not sustainable models for the state. Budget cuts over the past years have emphasized the adage of doing ‘More with Less’. The only means to achieve this is by removing the manual/human processing factor through automation. Agencies with no document management solution will be able to perform similar processing to what they currently do manually with less cost and staff time. Agencies with an existing DM, when converted should be able to continue their business without failure but also be able to remain current with constantly changing technology so they never again have to give up on future functionality or upgrades because of an inability to afford a massive investment in a new application or infrastructure platform. (IT) COT

FINANCE AND ADMINISTRATION CABINET
Proposed Projects Involving the General Fund (Cash or Bonds) – *cont'd*
 (projects listed in alphabetical order)

2018-2020

Acquire Land/Demolish Structures-Statewide	\$7,500,000
Air Handler Replacement and Repair	1,300,000
Capital Const. and Equip Purchase Contingency Fund	12,500,000
CEMCS	5,200,000
Chiller Replacement/Rebuild – Various	1,000,000
Cooling Tower Replacement Central Lab	1,500,000
Deferred Maintenance for Historic Properties	675,000
Design Capitol Annex Addition and Renovation	4,265,000
Design Completion of Capitol Restoration	3,429,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Maintenance Pool, 2018 – 2020	9,000,000
Maintenance Pool-Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrades	2,000,000
Renewal of the Capital Plaza Complex	30,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Replace VAV's Frankfort Buildings	2,800,000

2020-2022

Acquire Land/Demolish Structures-Statewide	\$7,500,000
Air Handler Replacement and Repair	900,000
Capital Const. and Equip. Purchase Contingency Fund	12,500,000
CEMCS	5,200,000
Chiller Replacement/Rebuild – Various	1,000,000
Construct Capitol Annex Addition and Renovation	92,170,000
Construct Restoration/Renovation Capitol	110,031,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Maintenance Pool, 2020 – 2022	9,000,000
Maintenance Pool – Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrade	2,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Upgrade Capitol Campus	4,000,000
Upgrade Capitol Campus	5,500,000

FINANCE AND ADMINISTRATION CABINET
Proposed Projects NOT Involving the General Fund
(Projects listed in alphabetical order)

2016-2018

COT – Enterprise Infrastructure **\$6,000,000 RF**

Centralization of shared IT resources and services continues to be the most prominent and critical factor in providing cost-effective Information Technology (IT) services. COT continues to focus on the core business services that are shared by the executive branch in order to ensure quality service delivery and cost containment. The elimination of redundant technology tools and operations continues to produce cost savings. The technical environment encompassing these core business services continues to change, requiring adaptation and upgrading of equipment and support software. As these needs are continual, so must be COT's opportunity to provide funding to meet the needs of executive branch customers depending on COT for leadership in IT services. Core business services that can only be provided efficiently at the enterprise level are data-communications (LAN/WAN, voice, data and video), server-computing platforms, storage solutions, desktop services, messaging services, enterprise IT security, eMARS and the Commonwealth Data Center. Continued increase in demand for these services will drive the upgrades necessary to meet the need for a secure and reliable infrastructure. COT will retain a portion of the revenue from service fees in this capital fund as a means to replace and adapt the hardware, software, services and tools necessary to meet its mission of providing all IT infrastructure services to the Executive Branch. (IT) COT

DFSS – Guaranteed Energy Performance Projects **\$50,000,000 OT-LTF**

The Guaranteed Energy Performance Projects authorization will be utilized to implement various energy and utilities savings projects in state-owned buildings. This authorization will allow the cabinet and other state agencies to proceed with energy efficiency projects that can be paid for from savings in the agency's operating budget. These contracts may function as lease-purchase procurements, using the resulting energy savings as payment for the improvements, as provided by KRS 56.770 to 56.784. Finance will administer the projects under this authorization.

2018-2020

COT – Enterprise Infrastructure	\$6,000,000 RF
DFSS – Guaranteed Energy Performance Projects	50,000,000 OT-LTF

2020-2022

COT – Enterprise Infrastructure	\$6,000,000 RF
DFSS – Guaranteed Energy Performance Projects	50,000,000 OT-LTF

Finance and Administration Cabinet
Proposed Projects - Involving the General Fund (Cash or Bonds)
Sorted by Agency

Listed below are projects for each agency (department) within the cabinet. The projects for the first biennium are listed by agency priority number. Projects for the second and third biennium are listed alphabetically.

Department for Facilities and Support Services

2016-2018

1 Emergency Repair, Maintenance and Replace. Fund	\$12,500,000
2 Capital Const. and Equip Purchase Contingency Fund	12,500,000
3 Maintenance Pool	9,000,000
4 Acquire Land/Demolish Structures-Statewide	7,500,000
5 Maintenance Pool-Statewide Deferred	12,500,000
6 CEMCS	5,200,000
7 CHR HVAC Replacement	4,500,000
8 Repair Site Infrastructure Capital Plaza Complex	2,500,000
9 Upgrade Capitol Campus	4,500,000
10 Halon System Replacement	750,000
11 CHR Carpet Replacement	1,473,000
12 Air Handler Replacement and Repair	600,000
13 Upgrade L&N Building	4,375,000
14 Replace VAV's Frankfort Buildings	2,800,000
15 Capitol Terrace and Promenade Repairs	4,000,000
16 Emergency Generator Repair and Replacement	600,000
17 Chiller Replacement/Rebuild – Various	1,000,000
18 Modernize Escalator and Misc Elevator Upgrades	1,500,000
19 Renovate Old Capitol/Capitol Annex	2,500,000
20 Deferred Maintenance for Historic Properties	675,000
21 House and Senate Chambers Restoration	2,000,000
22 Capitol Exterior Maintenance and Repair	2,500,000

2018-2020

Acquire Land/Demolish Structures – Statewide	\$7,500,000
Air Handler Replacement and Repair	1,300,000
Capital Const and Equip Purchase Contingency Fund	12,500,000
CEMCS	5,200,000
Chiller Replacement/Rebuild – Various	1,000,000
Cooling Tower Replacement Central Lab	1,500,000
Deferred Maintenance for Historic Properties	675,000
Design Capitol Annex Addition and Renovation	4,265,000
Design Completion of Capitol Restoration	3,429,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Maintenance Pool, 2018-2020	9,000,000
Maintenance Pool-Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrades	2,000,000
Renewal of the Capital Plaza Complex	30,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Replace VAV's Frankfort Buildings	2,800,000
Upgrade Capitol Campus	5,500,000

Finance and Administration Cabinet
Proposed Projects - Involving the General Fund (Cash or Bonds) – cont'd
Listed by Agency

Listed below are individual projects for each agency within the cabinet. The projects for the first biennium are listed by agency priority number. Projects for the second and third biennium are listed alphabetically.

Department for Facilities and Support Services – cont'd

2020-2022

Acquire Land/Demolish Structures-Statewide	\$7,500,000
Air Handler Replacement and Repair	900,000
Capital Const and Equip Purchase Contingency Fund	12,500,000
CEMCS	5,200,000
Chiller Replacement/Rebuild – Various	1,000,000
Construct Capitol Annex Addition and Renovation	92,170,000
Construct Restoration/Renovation Capitol	110,031,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Maintenance Pool, 2020-2022	9,000,000
Maintenance Pool-Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrade	2,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Upgrade Capitol Campus	4,000,000

Department of Revenue

2016-2018

1 Revenue Onestop Data Integration Changes	\$2,000,000
2 Tax Systems Updates	6,000,000
3 Tax Fraud Analytics and Identification	1,700,000
4 Electronic Commerce	5,200,000
5 Account Number Length Increase	2,000,000
6 Tax Discovery System	2,300,000
7 Registration Case Mgmt System Upgrade	600,000
8 Property Tax Systems Upgrade	8,600,000
9 Aerial Photography Imagery	3,800,000

Office of the Secretary

2016-2018

1 Kentucky Business One-Stop Phase 3	\$12,000,000
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